

07-02-01

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PTO/SB/05 (11-00)  
 Approved for use through 10/31/2002. OMB 0651-0032  
 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

|                                          |                                    |
|------------------------------------------|------------------------------------|
| Attorney Docket No.                      | 42390P10579                        |
| First Inventor or Application Identifier | Hong Jiang                         |
| Title                                    | A METHOD, APPARATUS AND SYSTEM FOR |
| Express Mail Label No.                   | EL651891550US                      |

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO: Assistant Commissioner for Patents  
 Box Patent Application  
 Washington, DC 20231

- ☒ Fee Transmittal Form (e.g. PTO/SB/17)  
 (Submit an original, and a duplicate for fee processing)
- ☐ Applicant claims small entity status.  
 See 37 CFR 1.27.
- ☒ Specification Total Pages   
 (preferred arrangement set forth below)
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
- ☒ Drawing(s) (35 U.S.C. 113) Total Sheets
- Oath or Declaration Total Pages 
  - ☐ Newly executed (original or copy)
  - ☐ Copy from a prior application (37 CFR 1.63(d))  
 (for continuation/divisional with Box 18 completed)
  - ☐ DELETION OF INVENTOR(S)  
 Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- ☐ Application Data Sheet. See 37 CFR 1.76.

- ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - ☐ Computer Readable Form (CFR)
  - ☐ Specification Sequence Listing on:
    - ☐ CD-ROM or CD-R (2 copies), or
    - ☐ Paper
  - ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

- ☐ Assignment Papers (cover sheet & document(s))
- ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
 (when there is an assignee)
- ☐ English Translation Document (if applicable)
- ☐ Information Disclosure Statement (IDS)/PTO - 1449 ☐ Copies of IDS Citations
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503)  
 (Should be specifically itemized)
- ☐ Certified Copy of Priority Document(s)  
 (if foreign priority is claimed)
- ☐ Request and Certification under 35 USC 122(b)(2)(B)(i).  
 Applicant must attach form PTO/SB/35 or its equivalent.
- ☒ Other: formal drawings transmittal

## 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: \_\_\_\_\_/\_\_\_\_\_

Prior application Information: Examiner \_\_\_\_\_ Group/Art Unit: \_\_\_\_\_

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 17. CORRESPONDENCE ADDRESS

☒ Customer Number of Bar Code Label



\*08791\*

or ☐ Correspondence address below

|         |                                         |           |                |          |                |
|---------|-----------------------------------------|-----------|----------------|----------|----------------|
| Name    | BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP  |           |                |          |                |
| Address | 12400 Wilshire Boulevard, Seventh Floor |           |                |          |                |
| City    | Los Angeles                             | State     | California     | Zip Code | 90025          |
| Country | U.S.A.                                  | Telephone | (310) 207-3800 | Fax      | (310) 820-5988 |

|                   |                                |      |         |
|-------------------|--------------------------------|------|---------|
| Name (Print/Type) | Eric S. Hyman, Reg. No. 30,139 |      |         |
| Signature         |                                | Date | 6/29/01 |

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06/29/01

JC962 U.S. PTO

JC971 U.S. PTO  
09/895307

06/29/01

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# FEE TRANSMITTAL

## for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 1648.00

## Complete if Known

|                        |             |
|------------------------|-------------|
| Application Number     |             |
| Filing Date            |             |
| First Named Inventor   | Hong Jiang  |
| Examiner Name          |             |
| Group Art Unit         |             |
| Attorney Docket Number | 42390P10579 |

## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number

02-2666

Deposit Account Name

Blakely, Sokoloff, Taylor &amp; Zafman LLP

- ☒ Charge Any Additional Fee Required Under 37CFR 1.16 and 1.17

☐ Applicant claims small entity status See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Money Order ☐ Other

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEE

## Large Entity Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description                                                            | Fee Paid |
|----------|----------|----------|----------|----------------------------------------------------------------------------|----------|
| 105      | 130      | 205      | 65       | Surcharge - late filing fee or oath                                        |          |
| 127      | 50       | 227      | 25       | Surcharge - late provisional filing fee or cover sheet.                    |          |
| 139      | 130      | 139      | 130      | Non-English specification                                                  |          |
| 147      | 2,520    | 147      | 2,520    | For filing a request for ex parte reexamination                            |          |
| 112      | 920*     | 112      | 920*     | Requesting publication of SIR prior to Examiner action                     |          |
| 113      | 1,840*   | 113      | 1,840*   | Requesting publication of SIR after Examiner action                        |          |
| 115      | 110      | 215      | 55       | Extension for response within first month                                  |          |
| 116      | 390      | 216      | 195      | Extension for response within second month                                 |          |
| 117      | 890      | 217      | 445      | Extension for response within third month                                  |          |
| 118      | 1,390    | 218      | 695      | Extension for response within fourth month                                 |          |
| 128      | 1,890    | 228      | 945      | Extension for response within fifth month                                  |          |
| 119      | 310      | 219      | 155      | Notice of Appeal                                                           |          |
| 120      | 310      | 220      | 155      | Filing a brief in support of an appeal                                     |          |
| 121      | 270      | 221      | 135      | Request for oral hearing                                                   |          |
| 138      | 1,510    | 138      | 1,510    | Petition to institute a public use proceeding                              |          |
| 140      | 110      | 240      | 55       | Petition to revive - unavoidably                                           |          |
| 141      | 1,240    | 241      | 620      | Petition to revive - unintentionally                                       |          |
| 142      | 1,240    | 242      | 620      | Utility issue fee (or reissue)                                             |          |
| 143      | 440      | 243      | 220      | Design issue fee                                                           |          |
| 144      | 600      | 244      | 300      | Plant issue fee                                                            |          |
| 122      | 130      | 122      | 130      | Petitions to the Commissioner                                              |          |
| 123      | 50       | 123      | 50       | Petitions related to provisional applications                              |          |
| 126      | 180      | 126      | 180      | Submission of Information Disclosure Stmt                                  |          |
| 581      | 40       | 581      | 40       | Recording each patent assignment per property (times number of properties) |          |
| 146      | 710      | 246      | 355      | Filing a submission after final rejection (37 CFR 1.129(a))                |          |
| 149      | 710      | 249      | 355      | For each additional invention to be examined (37 CFR 1.129(b))             |          |
| 179      | 710      | 279      | 355      | Request for Continued Examination (RCE)                                    |          |
| 169      | 900      | 169      | 900      | Request for expedited examination of a design application                  |          |

Other fee (specify) \_\_\_\_\_

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

## FEE CALCULATION

## 1. FILING FEE

## Large Entity Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description        | Fee Paid |
|----------|----------|----------|----------|------------------------|----------|
| 101      | 710      | 201      | 355      | Utility filing fee     | \$710    |
| 106      | 320      | 206      | 160      | Design filing fee      |          |
| 107      | 490      | 207      | 245      | Plant filing fee       |          |
| 108      | 710      | 208      | 355      | Reissue filing fee     |          |
| 114      | 150      | 214      | 75       | Provisional filing fee |          |

SUBTOTAL (1) (\$) 710.00

## 2. EXTRA CLAIM FEES

| Total Claims       | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| 41                 | -20** = 21   | X \$18.00 =    | 378.00   |
| 10                 | -3** = 7     | X \$80.00 =    | 560.00   |
| Multiple Dependent |              |                |          |

## Large Entity Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description                                           |
|----------|----------|----------|----------|-----------------------------------------------------------|
| 103      | 18       | 203      | 9        | Claims in excess of 20                                    |
| 102      | 80       | 202      | 40       | Independent claims in excess of 3                         |
| 104      | 270      | 204      | 135      | Multiple Dependent claim                                  |
| 109      | 80       | 209      | 40       | **Reissue independent claims over original patent         |
| 110      | 18       | 210      | 9        | **Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$) 938.00

\*\*or number of previously paid, if greater, For Reissues, see above

## SUBMITTED BY

Typed or Printed Name

Eric S. Hyman, Reg. No. 30.139

Signature

Date

4/29/01

## Complete (if applicable)

Reg. Number

Deposit Account User ID

02-2666

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Application No.:

Filed:

For: **A METHOD, APPARATUS AND SYSTEM FOR  
MULTIPLE-LAYER SCALABLE VIDEO  
CODING**

Art Group:

Examiner:

Assistant Commissioner for Patents  
Washington, D.C. 20231

**TRANSMITTAL OF FORMAL DRAWINGS**

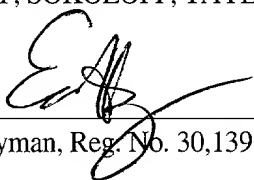
Sir:

Enclosed herewith for filing in the above-identified U.S. Patent Application are the formal drawings, 3 sheets including 5 Figures. Applicant hereby authorizes any additional extension or petition fees under 37 C.F.R. §1.17 or credit for any overpayment to our Deposit Account No. 02-2666. A copy of the Fee Transmittal sheet is enclosed.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN

Dated: 6/29/01

  
Eric S. Hyman, Reg. No. 30,139

12400 Wilshire Blvd., 7th Floor  
Los Angeles, California 90025  
Telephone: (310) 207-3800